



UNITED STATES DEPARTMENT OF COMMERCE
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/193,221	11/16/98	MALINOW	R A-67162/BIR

0222/1204
FLEHR HUBBACH TEST ALBRITTON & HERBERT
FOUR EMBARCADERO CENTER
SUITE 3400
SAN FRANCISCO CA 94111-4187

NOT ASSIGNED

1614

DATE MAILED:

12/04/98

NOTICE TO FILE MISSING PARTS OF APPLICATION

Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given TWO MONTHS FROM THE DATE OF THIS NOTICE within which to file all required items and pay fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the SURCHARGE set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a

☐ small entity (statement filed) ☒ non-small entity is \$ 1046.

☒ 1. The statutory basic filing fee is:

☒ missing.

☐ insufficient.

Applicant must submit \$ 760 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☒ 2. Additional claim fees of \$ 156, including any multiple dependent claim fees, are required.

\$ 156 for 2 independent claims over 3.

\$ _____ for _____ dependent claims over 20.

\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

☒ is missing or unexecuted.

☐ does not cover the newly submitted items.

☐ does not identify the application to which it applies.

☐ does not include the city and state or foreign country of applicant's residence.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application does not comply with the Sequence Rules.

See attached "Notice to Comply with Sequence Rules 37 CFR 1.821-1.825."

☒ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice **MUST** be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

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380.00
65.00
31.00

201
205
202

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Malinow, et al.

Serial No. 09/193,221

Filed: November 16, 1998

For:

*DIAGNOSTIC METHODS FOR DRUG SCREENING
FOR ALZHEIMER'S DISEASE*



Attorney Docket No. A-67162/BIR

Certificate of Mailing/Transmission

I hereby certify that this correspondence is being deposited in the United States Mail, postage prepaid, addressed to the Assistant Commissioner of Patents, Washington, D.C. 20231 on January 19, 1999

Kathleen M. Smith
Kathleen M. Smith

TRANSMITTAL OF FORMAL PAPERS AND SURCHARGE

Assistant Commissioner of Patents
Washington, DC 20231
BOX: Missing Parts

Sir:

In response to the Notice to File Missing Parts of Application dated December 4, 1998,

Applicants herewith submit the following:

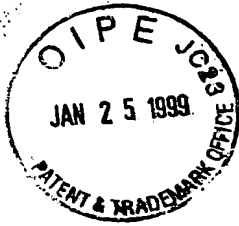
- ☒ Executed Verified Statement Claiming Small Entity Status (Non-Profit Organization)
- ☒ Executed Power of Attorney by Assignee and Exclusion of Inventor
- ☒ Executed Declarations of Inventors (5)
- ☒ Executed Assignments and Recordation Form Cover Sheets(3)
- ☐ Petition for Extension of Time
- ☐ A check is enclosed for \$604.00 for:

Basic Filing Fee, small entity	\$380.00
Excess independent claim (1)	39.00
Payment of surcharge, small entity	\$65.00
Assignment recordation (3 assignments)	\$120.00

Please charge any additional fees or credit any overpayment to Deposit Account No. 06-1300 (Order No. A-67162/BIR) As requested, a copy of the Notice is attached, together with a copy of this transmittal letter.

Respectfully submitted,

FLEHR HOHBACH TEST
ALBRITTON & HERBERT, LLP



By R. Michael Ananian
R. Michael Ananian
Reg. No. 35,050
for Bertram I. Rowland, Reg. No. 25,015

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